

# The generalized Frobenius problem via restricted partition functions

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## Abstract

Given relatively prime positive integers,  $a_1, \dots, a_n$ , the Frobenius number is the largest integer with no representations of the form  $a_1x_1 + \dots + a_nx_n$  with nonnegative integers  $x_i$ . This classical value has recently been generalized: given a nonnegative integer  $k$ , what is the largest integer with at most  $k$  such representations? Other classical values can be generalized too: for example, how many nonnegative integers are representable in at most  $k$  ways? For sufficiently large  $k$ , we give a complete answer to these questions by understanding how the output of the restricted partition function (the function  $f(t)$  giving the number of representations of  $t$ ) “interlaces” with itself. Furthermore, we give the full asymptotics of all of these values, as well as reprove formulas for some special cases (such as the  $n = 2$  case and a certain extremal family from the literature). Finally, we obtain the first two leading terms of the restricted partition function as a so-called quasi-polynomial.

## 1 Introduction

Given relatively prime positive integers,  $a_1, \dots, a_n$ , we define the *Frobenius number* to be the largest integer not contained in the semigroup

$$\{a_1x_1 + \dots + a_nx_n : x_i \in \mathbb{Z}_{\geq 0}\}.$$

Formulas for some special cases have been known since at least Sylvester [17] in the 1880’s; for example, if  $n = 2$ , the Frobenius number is  $a_1a_2 - a_1 - a_2$ . See the Ramírez Alfonsín text [15] for much more background.

More recently, Beck and Robins [7] propose a generalization. While the classical Frobenius number is the largest integer that can be represented as a nonnegative integer combination of  $a_1, \dots, a_n$  in *zero* ways, we could instead take a fixed  $k$  and look at integers that can be represented in exactly  $k$  distinct ways. To be precise:

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**Definition 1.1.** Given a vector  $\mathbf{a} = (a_1, \dots, a_n)$  of relatively prime positive integers and given  $t \in \mathbb{Z}_{\geq 0}$ , define the *restricted partition function*

$$f(\mathbf{a}; t) = \#(x_1, \dots, x_n) \in \mathbb{Z}_{\geq 0}^n : a_1x_1 + \dots + a_nx_n = t$$

to be the number of ways to represent  $t$  by a nonnegative integer combination of the  $a_i$ . We write it as  $f(t)$  when  $\mathbf{a}$  is clear from context. Then define

- $g_{=k}$  to be the maximum  $t \in \mathbb{Z}_{\geq 0}$  such that  $f(t) = k$  (the largest integer that can be represented in *precisely*  $k$  ways), if any such  $t$  exist, and
- $g_{\leq k}$  to be the maximum  $t \in \mathbb{Z}_{\geq 0}$  such that  $f(t) \leq k$  (the largest integer that can be represented in *at most*  $k$  ways).

The Frobenius number is  $g_{=0} = g_{\geq 0}$ , but these numbers may differ for larger  $k$ :

**Example 1.2.** (Shallit and Stankewicz [16]) For  $\mathbf{a} = (8, 9, 15)$ , we have  $g_{=15} = 169$ , but  $g_{\leq 15} = g_{=14} = 172$ .

**Remark 1.3.** A consequence of Theorem 1.12 will be that  $g_{=k} = g_{\leq k}$ , for all sufficiently large  $k$ .

**Example 1.4.** Take  $\mathbf{a} = (3, 4, 6)$ . Here is a table of  $t$  and  $f(t)$  for small  $t$ :

$t$	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	...
$f(t)$	1	0	0	1	1	0	2	1	1	2	2	1	4	2	2	4	4	2	6	4	4	6	6	4	...

For example,  $g_{=0} = 5$  is the Frobenius number, and  $g_{=2} = 17$ ; the two representations of 17 are  $17 = 3 \cdot 1 + 4 \cdot 2 + 6 \cdot 1 = 3 \cdot 3 + 4 \cdot 2 + 6 \cdot 0$ . Except for  $k = 0$ , which appears 3 times on this list of  $f(t)$ , values of  $k$  seem to appear either 6 times ( $k = 1, 2, 4, \dots$ ) or not at all  $k = 3, 5, \dots$ . Figure 1 (inspired by Bardomero and Beck [3, Figure 1]) illustrates how these interlace in a periodic manner.

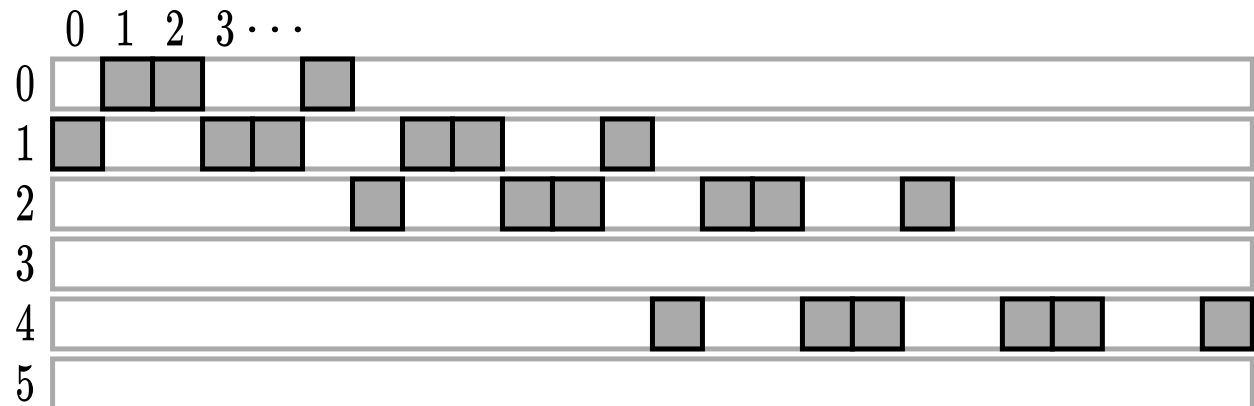


Figure 1: The horizontal axis is  $t = 0, 1, 2, 3, \dots$  and the vertical axis is  $f(t)$ , in Example 1.4.

In order to attack the generalized Frobenius problem, we will generalize Figure 1 and characterize (for sufficiently large  $t$ ) how the level sets of  $f(t)$  will interlace, and how they will increase with  $t$ . We will make heavy use of the fact that  $f(t)$  is a very “nice” function. In fact, it is a *quasi-polynomial*:

**Definition 1.5.** A function  $f : \mathbb{Z}_{\geq 0} \rightarrow \mathbb{Q}$  is a *quasi-polynomial of period  $m$*  if there exist polynomials  $f_0, f_1, \dots, f_{m-1} \in \mathbb{Q}[t]$  such that

$$f(t) = f_i(t), \text{ for } t \equiv i \pmod{m}.$$

The polynomials,  $f_i$ , are called the *constituent polynomials* of  $f$ .

The following folklore theorem shows that our  $f$  is a quasi-polynomial:

**Proposition 1.6.** Let  $\mathbf{a} = (a_1, \dots, a_n)$  be a vector of relatively prime positive integers. Then  $f(\mathbf{a}; t)$  is a quasi-polynomial of period  $m = \text{lcm}(\mathbf{a}) = \text{lcm}(a_1, \dots, a_n)$ . Furthermore, the leading term of all of the constituent polynomials is

$$\frac{1}{(n-1)!a_1 \cdots a_n} t^{n-1}.$$

This proposition is apparently due to Issai Schur; see Wilf [20, Section 3.15], and we present a proof as part of Proposition 1.19.

Our first theorem will tell us exactly how to determine whether  $f(s) = f(t)$ ,  $f(s) > f(t)$ , or  $f(s) < f(t)$ , for sufficiently large  $s$  and  $t$ , and elucidate the structure of the output of  $f$ . First some notation:

**Notation 1.7.** For  $1 \leq i \leq n$ , define  $\mathbf{a}_{-i} = (a_1, \dots, a_{i-1}, a_{i+1}, \dots, a_n)$ , so that, for example,  $\text{gcd}(\mathbf{a}_{-i}) = \text{gcd}(a_1, \dots, a_{i-1}, a_{i+1}, \dots, a_n)$ .

**Theorem 1.8.** Let  $\mathbf{a} = (a_1, \dots, a_n)$  be a vector of relatively prime positive integers. For  $1 \leq i \leq n$ , let  $d_i = \text{gcd}(\mathbf{a}_{-i})$ , and let  $p = d_1 \cdots d_n$ . Then

1. If  $s \in \mathbb{Z}_{\geq 0}$  and  $b_i \in \mathbb{Z}$  with  $0 \leq b_i < d_i$ , then

$$f\left(sp + \sum_i a_i b_i\right) = f(sp).$$

2. If  $t \in \mathbb{Z}_{\geq 0}$  is sufficiently large, then there exists  $s \in \mathbb{Z}_{\geq 0}$  and  $b_i \in \mathbb{Z}$  with  $0 \leq b_i < d_i$  such that

$$t = sp + \sum_i a_i b_i$$

(that is, since all sufficiently large integers can be so represented, Part (1) implies we can concentrate on understanding  $f(sp)$ ).

3. For  $1 \leq i \leq n$ , let

$$a'_i = \frac{a_i}{\prod_{j \neq i} d_j}$$

and  $\mathbf{a}' = (a'_1, \dots, a'_n)$ . Then

$$f(\mathbf{a}; sp) = f(\mathbf{a}'; p),$$

for  $s \in \mathbb{Z}_{\geq 0}$ .

4. For all sufficiently large  $s \in \mathbb{Z}_{\geq 0}$ ,

$$f(\mathbf{a}; (s+1)p) > f(\mathbf{a}; sp).$$

**Example 1.9.** Continuing Example 1.4 with  $\mathbf{a} = (3, 4, 6)$ , we can now better understand Figure 1. Since  $d_1 = \gcd(4, 6) = 2$ ,  $d_2 = \gcd(3, 6) = 3$ , and  $d_3 = \gcd(3, 4) = 1$ , we have  $p = 2 \cdot 3 \cdot 1 = 6$ . If we take  $b_i \in \mathbb{Z}$  with  $0 \leq b_i < d_i$ , then the possible values of  $\sum_i a_i b_i$  are

$$\begin{aligned} 3 \cdot 0 + 4 \cdot 0 + 6 \cdot 0 &= 0, & 3 \cdot 0 + 4 \cdot 1 + 6 \cdot 0 &= 4, & 3 \cdot 0 + 4 \cdot 2 + 6 \cdot 0 &= 8, \\ 3 \cdot 1 + 4 \cdot 0 + 6 \cdot 0 &= 3, & 3 \cdot 1 + 4 \cdot 1 + 6 \cdot 0 &= 7, & 3 \cdot 1 + 4 \cdot 2 + 6 \cdot 0 &= 11. \end{aligned}$$

Therefore, given  $s \in \mathbb{Z}_{\geq 0}$ ,  $f(6s+c)$  will be identical for  $c \in \{0, 3, 4, 7, 8, 11\}$ , which is exactly what we see in Figure 1. Furthermore, the value of  $f(6s)$  will eventually increase with  $s$ ; in this example, it is increasing for all  $s$ :  $f(0) = 1$ ,  $f(6) = 2$ ,  $f(12) = 4$ ,  $f(18) = 6$ , and so on. All sufficiently large values in the range  $f(\mathbb{Z}_{\geq 0})$  (in this case, all but  $f(t) = 0$ ) will appear on this list.

Finally,  $a'_1 = 3/3 = 1$ ,  $a'_2 = 4/2 = 2$ , and  $a'_3 = 6/6 = 1$ . One can check by hand that

$$f(\mathbf{a}; 6s) = f(\mathbf{a}'; s) = \begin{cases} \frac{s^2}{4} + s + 1 & \text{if } s \text{ is even,} \\ \frac{s^2}{4} + s + \frac{3}{4} & \text{if } s \text{ is odd.} \end{cases}$$

**Remark 1.10.** Since  $f(t)$  is a quasi-polynomial of period  $m = \text{lcm}(\mathbf{a})$  and we only need to look at values of  $t$  that are multiples of  $p$ , we must compute  $m/p$  of the constituent polynomials of  $f$ . In the above Example,  $m/p = 12/6 = 2$  and we need two polynomials.

We now describe what this means for  $g_{=k}$  and  $g_{\leq k}$ , for sufficiently large  $k$ . We also describe some other quantities that often appear in both the classical and generalized Frobenius problem:

**Definition 1.11.** Let  $\mathbf{a} = (a_1, \dots, a_n)$  be a vector of relatively prime positive integers. For  $k \in \mathbb{Z}_{\geq 0}$ , define

- $h_{=k}$  to be the minimum  $t \in \mathbb{Z}_{\geq 0}$  such that  $f(t) = k$  (if any such  $t$  exist),
- $h_{\geq k}$  to be the minimum  $t \in \mathbb{Z}_{\geq 0}$  such that  $f(t) \geq k$ ,
- $c_{=k}$  to be the number of  $t \in \mathbb{Z}_{\geq 0}$  such that  $f(t) = k$ ,

- $c_{\leq k}$  to be the number of  $t \in \mathbb{Z}_{\geq 0}$  such that  $f(t) \leq k$ ,
- $s_{=k}$  to be the sum of all  $t \in \mathbb{Z}_{\geq 0}$  such that  $f(t) = k$ ,
- $s_{\leq k}$  to be the sum of all  $t \in \mathbb{Z}_{\geq 0}$  such that  $f(t) \leq k$ ,
- $F_{=k}(x)$  to be the generating function

$$\sum_{t \in \mathbb{Z}_{\geq 0}: f(t)=k} x^t,$$

- $F_{\geq k}(x)$  to be the generating function

$$\sum_{t \in \mathbb{Z}_{\geq 0}: f(t) \geq k} x^t.$$

**Theorem 1.12.** *Let  $\mathbf{a} = (a_1, \dots, a_n)$  be a vector of relatively prime positive integers, and define  $p, d_i$  as in Theorem 1.8. Define  $g_{=k}, g_{\leq k}, h_{=k}, h_{\geq k}, c_{=k}, c_{\leq k}, s_{=k}, s_{\leq k}, F_{=k}(x), F_{\geq k}(x)$  as in Definitions 1.1 and 1.11. Then there are constants  $C_1, C_2$  such that, for sufficiently large  $s \in \mathbb{Z}_{\geq 0}$ ,*

$$\begin{aligned} g_{=f(sp)} &= g_{\leq f(sp)} = sp + \sum_{i=1}^n (d_i - 1)a_i, \\ h_{=f(sp)} &= h_{\geq f(sp)} = sp, \\ c_{=f(sp)} &= p, \\ c_{\leq f(sp)} &= sp + C_1, \\ s_{=f(sp)} &= sp^2 + \sum_{i=1}^n \frac{pa_i(d_i - 1)}{2}, \\ s_{\leq f(sp)} &= \frac{1}{2}(sp)^2 + \left( \frac{p + \sum_{i=1}^n a_i(d_i - 1)}{2} \right) sp + C_2, \\ F_{=f(sp)}(x) &= x^{sp} \prod_i \frac{1 - x^{d_i a_i}}{1 - x^{a_i}}, \\ F_{\geq f(sp)}(x) &= \frac{x^{sp}}{1 - x^p} \prod_i \frac{1 - x^{d_i a_i}}{1 - x^{a_i}}. \end{aligned}$$

**Remark 1.13.** Let's call  $k$  such that  $c_{=k} = 0$  *trivial*. Then, for sufficiently large  $k$ , the only nontrivial  $k$  are of the form  $k = f(sp)$ , and the values of such  $g_{=f(sp)}$ , etc., are given by the above theorem. But this also gives us the values for trivial  $k$ : for example,  $g_{\leq k} = g_{\leq f(sp)}$ , if  $f(sp) \leq k < f((s+1)p)$ .

Notice that  $g_{\leq k}$  (like several of the other quantities) is of the form  $g_{\leq f(sp)} = sp + C$ , where  $C$  is a constant. That is, it is roughly the inverse of  $f$ . Writing  $q_1(x) \sim q_2(x)$ , if  $\lim_{x \rightarrow \infty} q_1(x)/q_2(x) = 1$ , Proposition 1.6 gives that

$$f(t) \sim \frac{1}{(n-1)!a_1 \cdots a_n} t^{n-1}.$$

Therefore, if  $k \sim f(sp)$  (in particular, if  $f(sp) \leq k < f((s+1)p)$ ), we have

$$sp \sim ((n-1)!a_1 \cdots a_n k)^{1/(n-1)},$$

and we immediately get the asymptotics of these functions of  $k$ :

**Corollary 1.14.** *Given a vector  $\mathbf{a} = (a_1, \dots, a_n)$  of relatively prime positive integers, let  $p$  be the constant defined in Theorem 1.8. Then (restricting to  $k$  where the values are defined/nonzero)*

- $g_{=k}, g_{\leq k}, h_{=k}, h_{\geq k}, c_{\leq k} \sim ((n-1)!a_1 \cdots a_n k)^{1/(n-1)},$
- $c_{=k} \sim p,$
- $s_{=k} \sim p((n-1)!a_1 \cdots a_n k)^{1/(n-1)},$
- $s_{\leq k} \sim \frac{1}{2}((n-1)!a_1 \cdots a_n k)^{2/(n-1)}.$

Fukshansky and Schürmann [12] give bounds for  $g_{\leq k}$ , for sufficiently large  $k$ , matching these asymptotics, and Aliev, Fukshansky, and Henk [2] find bounds on  $g_{\leq k}$  that are good for all  $k$ . The asymptotics of the other quantities seem to be new here.

These quantities have already been calculated exactly for  $n = 2$ , in Beck and Robins [7] and Bardomero and Beck [3]. We will reproduce these results nicely using Theorem 1.8:

**Proposition 1.15.** *Given relatively prime positive integers  $a_1, a_2$ ,*

$$\begin{aligned}
g_{=k} &= g_{\leq k} = (k+1)a_1a_2 - a_1 - a_2, \\
\text{for } k \geq 1, h_{=k} &= h_{\geq k} = (k-1)a_1a_2, \\
h_{=0} &= 1 \text{ (unless } a_1 = 1 \text{ or } a_2 = 1), \\
\text{for } k \geq 1, c_{=k} &= a_1a_2, \\
c_{=0} &= \frac{a_1a_2 - a_1 - a_2 + 1}{2}, \\
c_{\leq k} &= ka_1a_2 + c_{=0}, \\
\text{for } k \geq 1, s_{=k} &= \frac{a_1a_2(2a_1a_2k - a_1 - a_2)}{2}, \\
s_{=0} &= \frac{(a_1-1)(a_2-1)(2a_1a_2 - a_1 - a_2 - 1)}{12}, \\
s_{\leq k} &= \frac{a_1^2a_2^2}{2}k^2 + \frac{a_1a_2(a_1a_2 - a_1 - a_2)}{2}k + s_{=0}, \\
\text{for } k \geq 1, F_{=k}(x) &= \frac{x^{(k-1)a_1a_2} (1 - x^{a_1a_2})^2}{(1 - x^{a_1})(1 - x^{a_2})}, \\
F_{=0}(x) &= \frac{1}{1-x} - \frac{1 - x^{a_1a_2}}{(1 - x^{a_1})(1 - x^{a_2})}, \\
\text{for } k \geq 1, F_{\geq k}(x) &= \frac{x^{(k-1)a_1a_2} (1 - x^{a_1a_2})}{(1 - x^{a_1})(1 - x^{a_2})}, \\
F_{\geq 0} &= \frac{1}{1-x}.
\end{aligned}$$

The formulas for  $g_{=k}, g_{\leq k}, h_{=k}, h_{\geq k}, c_{=k}, c_{\leq k}$  are due to (or immediately derivable from) [7] and the formulas for  $s_{=k}, s_{\leq k}, F_{=k}(s), F_{\geq k}(x)$  are due to [3]. The  $k = 0$  cases were previously known: see Sylvester [17] for  $g_{=0}, c_{=0}$ , Brown and Shiue [9] for  $s_{=0}$ , and Székely and Wormald [18] for  $F_{=0}(x), F_{\geq 1}(x)$ . Proposition 1.15 is an immediate corollary (the  $n = 2$  case) of Proposition 1.16 and Remark 1.17 below:

**Proposition 1.16.** *Let  $d_1, \dots, d_n$  be pairwise coprime positive integers, and let  $a_i = \prod_{j \neq i} d_j$ , for  $1 \leq i \leq n$ . Let  $p = d_1 \cdots d_n$  and  $\sigma = a_1 + \cdots + a_n$ . Other than  $k = 0$ , the only nontrivial*

$k$  (that is, such that  $c_{=k} > 0$ ) are  $k = \binom{s+n-1}{n-1}$ , for  $s \in \mathbb{Z}_{\geq 0}$ , and we have

$$\begin{aligned} g_{=k} &= g_{\leq k} = (s+n)p - \sigma, \\ h_{=k} &= h_{\geq k} = sp, \\ c_{=k} &= p, \\ c_{\leq k} &= (s+1)p + \frac{(n-1)p - \sigma + 1}{2}, \\ s_{=k} &= \frac{p((2s+n)p - \sigma)}{2}, \\ F_{=k}(x) &= \frac{x^{sp}(1-x^p)^n}{(1-x^{a_1}) \cdots (1-x^{a_n})}, \\ F_{\geq k}(x) &= \frac{x^{sp}(1-x^p)^{n-1}}{(1-x^{a_1}) \cdots (1-x^{a_n})}. \end{aligned}$$

The formula for  $g_{=k} = g_{\leq k}$  was given in Beck and Kifer [6]. The other formulas seem to be new. If  $n = 2$ , then  $a_1 = d_2$  and  $a_2 = d_1$  are generic relatively prime positive integers; setting  $k = \binom{s+1}{1} = s+1$  retrieves Proposition 1.15 for  $k \geq 1$ ; the  $k = 0$  case is covered by the following remark:

**Remark 1.17.** For  $k = 0$ , Tripathi [19] proved that

$$g_{=0} = (n-1)p - \sigma \quad \text{and} \quad c_{=0} = \frac{(n-1)p - \sigma + 1}{2}.$$

These can be obtained from  $F_{\geq 1}(x)$  above ( $k = 1$  corresponds to  $s = 0$ ), as follows: We have

$$F_{\geq 0}(x) = \sum_{t \in \mathbb{Z}_{\geq 0}} x^t = \frac{1}{1-x} \quad \text{and} \quad F_{=0}(x) = F_{\geq 0}(x) - F_{\geq 1}(x).$$

Then  $g_{=0}$  is the degree of  $F_{=0}(x)$  as a polynomial and  $c_{=0} = F_{=0}(1)$ . One could compute  $s_{=0} = F'_{=0}(1)$ , which would also allow us to give a formula for  $s_{\leq k}$ , but the answer seems a bit messy; however,  $F'_{=0}(1)$  does match the  $n = 2$  value of  $s_{=0}$  given in Proposition 1.15.

The following well-known lemma gives a useful recurrence and is worth highlighting here:

**Lemma 1.18.** Given  $t \in \mathbb{Z}_{\geq 0}$ , and given  $i$  with  $t \geq a_i$ ,

$$f(\mathbf{a}; t) = f(\mathbf{a}; t - a_i) + f(\mathbf{a}_{-i}; t).$$

The proof is immediate: the first term on the right-hand-side is the number of ways to represent  $t$  with at least one  $a_i$ , and the second term is the number of ways to represent  $t$  with no  $a_i$ 's.

Finally, we note that a partial fractions approach provides an alternative proof of Theorem 1.8(4), and a standard proof of Proposition 1.6. We include it here, in case it is useful. While the leading term of  $f(\mathbf{a}; t)$  is well-known, this approach (together with Theorem 1.8) also allows us to compute the second leading term(s) as well:



**Proposition 1.19.** Let  $\mathbf{a} = (a_1, \dots, a_n)$  be a vector of relatively prime positive integers, and let  $m = \text{lcm}(\mathbf{a})$ . For  $1 \leq i \leq n$ , let  $d_i = \text{gcd}(\mathbf{a}_{-i})$ , and let  $p = d_1 \cdots d_n$ . Then

1.  $f(\mathbf{a}; t)$  is a quasi-polynomial of period  $m$ , and the leading term of all of the constituent polynomials is

$$\frac{1}{(n-1)!a_1 \cdots a_n} t^{n-1}.$$

2. If  $d_i = 1$  for all  $i$ , then the leading two terms of all of the constituent polynomials are

$$\frac{1}{(n-1)!a_1 \cdots a_n} t^{n-1} + \frac{a_1 + \cdots + a_n}{2(n-2)!a_1 \cdots a_n} t^{n-2}.$$

3. For sufficiently large  $s \in \mathbb{Z}_{\geq 0}$ ,  $f((s+1)p) > f(sp)$ .

**Remark 1.20.** Combining Proposition 1.19(2) and Theorem 1.8 allows us to compute the leading two terms even when  $d_i > 1$ , though the second term will now depend on the constituent polynomial: given  $i$  and  $t \equiv i \pmod{p}$ , compute  $r \in \mathbb{Z}_{\geq 0}$  such that  $t \equiv r \pmod{p}$  and  $f(\mathbf{a}; t) = f(\mathbf{a}; t - r)$ , using Theorem 1.8(1) and (2). Let  $s \in \mathbb{Z}$  be such that  $t = sp + r$ , and then

$$f(\mathbf{a}; t) = f(\mathbf{a}; sp) = f(\mathbf{a}'; s),$$

by Theorem 1.8(3). The two leading terms of  $f(\mathbf{a}'; s)$  are given by Proposition 1.19(2), and then these can be used to compute the two leading terms of  $f(\mathbf{a}; t)$  as a polynomial in  $t$ , by substituting  $s = (t - r)/p$ .

In the next section, we prove Theorem 1.8, Theorem 1.12, Proposition 1.16, and Proposition 1.19. Then we conclude with some open questions.

## 2 Proofs

*Proof of Theorem 1.8.* To prove Part 1, we proceed by induction on  $\sum_j b_j$ . If all  $b_j$  are zero, then this is trivially true:  $f(sp + 0) = f(sp)$ . Now assume  $b_i > 0$ , for some  $i$ . By Lemma 1.18 and the induction hypothesis,

$$\begin{aligned} f\left(\mathbf{a}; sp + \sum_j a_j b_j\right) &= f\left(\mathbf{a}; sp + a_i(b_i - 1) + \sum_{j \neq i} a_j b_j\right) + f\left(\mathbf{a}_{-i}; sp + \sum_j a_j b_j\right) \\ &= f(\mathbf{a}; sp) + f\left(\mathbf{a}_{-i}; sp + \sum_j a_j b_j\right). \end{aligned}$$

We need to show that  $f(\mathbf{a}_{-i}; sp + \sum_j a_j b_j) = 0$ . Indeed, using the facts that  $p$  and  $a_j$  ( $j \neq i$ ) are multiples of  $d_i = \text{gcd}(\mathbf{a}_{-i})$ , that  $a_i$  is relatively prime to  $d_i$  (or else  $\text{gcd}(\mathbf{a}) > 1$ ), and  $b_i$  is not a multiple of  $d_i$  (since  $0 < b_i < d_i$ ), we have

$$sp + \sum_j a_j b_j \equiv a_i b_i \not\equiv 0 \pmod{d_i}.$$

Such a number cannot be represented as a combination of  $\mathbf{a}_{-i}$ , since  $a_j$  ( $j \neq i$ ) are multiples of  $d_i$ .

To prove Part 2, given  $t \in \mathbb{Z}_{\geq 0}$ , for  $1 \leq i \leq n$ , let  $b_i$  be defined so that  $0 \leq b_i < d_i$  and  $b_i \equiv a_i^{-1}t \pmod{d_i}$  ( $a_i$  is invertible mod  $d_i$ , since they are relatively prime). Since  $a_j$  ( $j \neq i$ ) is a multiple of  $d_i$ ,

$$\sum_j a_j b_j \equiv a_i b_i \equiv t \pmod{d_i}.$$

Since  $p = d_1 \cdots d_n$  with the  $d_i$  pairwise coprime (or else  $\gcd(\mathbf{a}) > 1$ ), the Chinese Remainder Theorem yields  $\sum_j a_j b_j \equiv t \pmod{p}$ . Let  $s$  be the integer  $(t - \sum_j a_j b_j) / p$ , so that  $t = sp + \sum_j a_j b_j$ . As long as  $t$  is sufficiently large ( $t \geq \sum_j a_j (d_j - 1)$ ),  $s$  will be nonnegative, and Part 2 follows.

To prove Part 3, suppose  $sp = \sum_j a_j x_j$  ( $x_j \in \mathbb{Z}_{\geq 0}$ ) is a representation of  $sp$  by  $\mathbf{a}$ . For each  $i$ ,  $p$  and  $a_j$  ( $j \neq i$ ) are multiples of  $d_i$ , and so

$$a_i x_i \equiv \sum_j a_j x_j = sp \equiv 0 \pmod{d_i}.$$

Since  $a_i$  and  $d_i$  are relatively prime,  $x_i$  must be a multiple of  $d_i$ . Let  $y_i \in \mathbb{Z}_{\geq 0}$  be such that  $x_i = d_i y_i$ . Then

$$sp = \sum_i a_i x_i = \sum_i \left( \prod_{j \neq i} d_j \right) a_i \cdot d_i y_i = p \sum_i a'_i y_i,$$

So  $s = \sum_i a'_i y_i$  is a representation of  $s$  by  $\mathbf{a}'$ . Conversely, given any representation  $s = \sum_i a'_i y_i$  ( $y_i \in \mathbb{Z}_{\geq 0}$ ) by  $\mathbf{a}'$ ,  $sp = \sum_i a_i (d_i y_i)$  is a representation of  $sp$  by  $\mathbf{a}$ . Therefore  $f(\mathbf{a}; sp) = f(\mathbf{a}'; p)$ , as desired.

To prove Part 4, we assume without loss of generality (by Part 3) that  $d_i = 1$  for all  $i$ , so we are trying to prove that  $f(s+1) > f(s)$ , for sufficiently large  $s \in \mathbb{Z}_{\geq 0}$ . The complication is that  $f(s)$  and  $f(s+1)$  are evaluated on different constituent polynomials of  $f$ , and it seems like these might “jump around.”

We do know that all sufficiently large integers can be represented by  $\mathbf{a}$ . In particular, let  $q \in \mathbb{Z}_{\geq 0}$  be such that  $q$  and  $q+1$  are both representable; that is,  $q = \sum_i a_i x_i$  and  $q+1 = \sum_i a_i y_i$  for  $x_i, y_i \in \mathbb{Z}_{\geq 0}$ . Take  $s \in \mathbb{Z}_{\geq 0}$  sufficiently large (in particular, take  $s \geq q$ ). We will use Lemma 1.18 repeatedly to relate both  $f(s)$  and  $f(s+1)$  to  $f(s-q)$ . Let's start by applying the recursion  $x_1$  times on  $f(\mathbf{a}; s)$ , using  $i = 1$ :

$$\begin{aligned} f(\mathbf{a}; s) &= f(\mathbf{a}; s - a_1) + f(\mathbf{a}_{-1}; s) = \\ &= f(\mathbf{a}; s - 2a_1) + f(\mathbf{a}_{-1}; s - a_1) + f(\mathbf{a}_{-1}; s) = \cdots \\ &= f(\mathbf{a}; s - a_1 x_1) + \sum_{j=0}^{x_1-1} f(\mathbf{a}_{-1}; s - ja_1). \end{aligned}$$

Now apply the recursion  $x_2$  times with  $i = 2$ , and so on, and we get constants (independent of  $s$ )  $u_{ij} \in \mathbb{Z}_{\geq 0}$  such that

$$f(\mathbf{a}; s) = f(\mathbf{a}; s - q) + \sum_{i=1}^n \sum_{j=0}^{x_i-1} f(\mathbf{a}_{-i}; s - u_{ij}).$$

Now if we do the same thing for  $f(\mathbf{a}; s + 1)$ , applying the recursion  $y_1$  times with  $i = 1$  and so forth, we get constants  $w_{ij} \in \mathbb{Z}_{\geq 0}$  such that

$$f(\mathbf{a}; s + 1) = f(\mathbf{a}; s + 1 - (q + 1)) + \sum_{i=1}^n \sum_{j=0}^{y_i-1} f(\mathbf{a}_{-i}; s + 1 - w_{ij}).$$

Subtracting the two equations, the term  $f(\mathbf{a}; s - q) = f(\mathbf{a}; s + 1 - (q + 1))$  cancels, and we are left with

$$f(\mathbf{a}; s + 1) - f(\mathbf{a}; s) = \sum_{i=1}^n \sum_{j=0}^{y_i-1} f(\mathbf{a}_{-i}; s + 1 - w_{ij}) - \sum_{i=1}^n \sum_{j=0}^{x_i-1} f(\mathbf{a}_{-i}; s - u_{ij}),$$

and we want to show that this quantity is (eventually) positive. By Proposition 1.6,  $f(\mathbf{a}_{-i}; s)$  is a quasi-polynomial with leading term

$$\frac{1}{(n-2)! \prod_{j \neq i} a_j} s^{n-2}.$$

Therefore  $f(\mathbf{a}; s + 1) - f(\mathbf{a}; s)$  is a quasi-polynomial with leading coefficient (on  $s^{n-2}$ )

$$\begin{aligned} & \sum_{i=1}^n \sum_{j=0}^{y_i-1} \frac{1}{(n-2)! \prod_{j \neq i} a_j} - \sum_{i=1}^n \sum_{j=0}^{x_i-1} \frac{1}{(n-2)! \prod_{j \neq i} a_j} \\ &= \sum_{i=1}^n \frac{a_i y_i}{(n-2)! a_1 \cdots a_n} - \sum_{i=1}^n \frac{a_i x_i}{(n-2)! a_1 \cdots a_n} \\ &= \frac{1}{(n-2)! a_1 \cdots a_n} \left[ \sum_{i=1}^n a_i y_i - \sum_{i=1}^n a_i x_i \right] \\ &= \frac{1}{(n-2)! a_1 \cdots a_n} ((q+1) - q) \\ &= \frac{1}{(n-2)! a_1 \cdots a_n}. \end{aligned}$$

Since this is a positive leading term,  $f(\mathbf{a}; s + 1) - f(\mathbf{a}; s)$  will eventually be positive, as desired. □

*Proof of Theorem 1.12.* Let  $s$  be sufficiently large. By Theorem 1.8, the set of  $t$  with  $f(t) = f(sp)$  is exactly

$$\left\{ sp + \sum_i a_i b_i : 0 \leq b_i < d_i \right\}.$$

The largest element of this set occurs at  $b_i = d_i - 1$  for all  $i$ , so

$$g_{=f(sp)} = sp + \sum_{i=1}^n (d_i - 1)a_i.$$

The smallest element of this set occurs at  $b_i = 0$  for all  $i$ , so

$$h_{=f(sp)} = sp.$$

The number of elements in this set is

$$c_{=f(sp)} = d_1 \cdots d_n = p.$$

The sum of the elements in this set is

$$\begin{aligned} s_{=f(sp)} &= \sum_{b_1=0}^{d_1-1} \cdots \sum_{b_n=0}^{d_n-1} \left( sp + \sum_{i=1}^n a_i b_i \right) \\ &= d_1 \cdots d_n sp + \sum_{i=1}^n \left( \prod_{j \neq i} d_j \cdot \sum_{b_i=0}^{d_i-1} a_i b_i \right) \\ &= sp^2 + \sum_{i=1}^n \left( \prod_{j \neq i} d_j \cdot \frac{a_i d_i (d_i - 1)}{2} \right) \\ &= sp^2 + \sum_{i=1}^n \frac{p a_i (d_i - 1)}{2}. \end{aligned}$$

The generating function for this set is

$$\begin{aligned} F_{=f(sp)}(x) &= \sum_{b_1=0}^{d_1-1} \cdots \sum_{b_n=0}^{d_n-1} x^{sp + \sum_{i=1}^n a_i b_i} \\ &= x^{sp} \prod_i (1 + x^{a_i} + \cdots + x^{(d_i-1)a_i}) \\ &= x^{sp} \prod_i \frac{1 - x^{d_i a_i}}{1 - x^{a_i}}. \end{aligned}$$

Since  $f(sp)$  is an increasing function of  $s$  (for sufficiently large  $s$ ), we have that  $g_{\leq f(sp)} = g_{=f(sp)}$  and  $h_{\geq f(sp)} = h_{=f(sp)}$ . To compute  $c_{\leq f(sp)}$ , we must compute

$$\sum_{k=0}^{f(sp)} c_{=k}.$$

The values of  $c_{=k}$  may disagree with our above formula (which is only valid for sufficiently large  $k$ ) for a fixed set of  $k$ , but this can only change the sum by a constant amount, so

$$c_{\leq f(sp)} = C'_1 + \sum_{r=0}^s c_{=f(rp)} = sp + C_1,$$

where  $C'_1$  and  $C_1$  are constants. Similarly, we may compute

$$\begin{aligned} s_{\leq f(sp)} &= C'_2 + \sum_{r=0}^s \left( rp^2 + \sum_{i=1}^n \frac{pa_i(d_i - 1)}{2} \right) \\ &= C'_2 + \frac{s^2 p^2 + sp^2}{2} + (s+1) \sum_{i=1}^n \frac{pa_i(d_i - 1)}{2} \\ &= \frac{1}{2}(sp)^2 + \left( \frac{p + \sum_{i=1}^n a_i(d_i - 1)}{2} \right) sp + C_2, \end{aligned}$$

where  $C'_2$  and  $C_2$  are constants. Finally,

$$\begin{aligned} F_{\geq f(sp)}(x) &= \sum_{r=s}^{\infty} F_{=f(rp)}(x) \\ &= \sum_{r=s}^{\infty} x^{rp} \prod_i \frac{1 - x^{d_i a_i}}{1 - x^{a_i}} \\ &= \frac{x^{sp}}{1 - x^p} \prod_i \frac{1 - x^{d_i a_i}}{1 - x^{a_i}}. \end{aligned}$$

□

*Proof of Proposition 1.16.* Note that the  $d_i$  as defined in the proposition are indeed  $d_i = \gcd(\mathbf{a}_{-i})$ , as required to apply Theorem 1.12. Let  $t = \sum_{i=1}^n a_i x_i$ , for some  $x_i \in \mathbb{Z}_{\geq 0}$ , be any number with at least one representation by  $\mathbf{a}$ . Letting  $b_i = x_i \bmod d_i$  (so  $0 \leq b_i < d_i$ ), and, noting that  $a_i d_i = p$ , we get that there exists  $s \in \mathbb{Z}_{\geq 0}$  such that

$$t = sp + \sum_i a_i b_i.$$

In particular,  $f(t) = f(sp)$  with  $s \geq 0$ . and so such a  $t$  will be counted when we look at  $g_{=f(sp)}$ . Note that  $t$  such that  $f(t) = 0$  will *not* be counted; such  $t$  will correspond to negative  $s$ .

So let  $s \in \mathbb{Z}_{\geq 0}$  be given, and let  $k = \binom{s+n-1}{n-1}$ . We have (in the notation of Theorem 1.8(3))

$$a'_i = \frac{a_i}{\prod_{j \neq i} d_j} = 1,$$

for all  $i$ . Then by Theorem 1.8(3),

$$f(\mathbf{a}; sp) = f(\mathbf{a}'; s) = f((1, \dots, 1); s) = \binom{s+n-1}{n-1} = k$$

(this calculation is a classical combinatorics problem about compositions). Now we may simply apply Theorem 1.12 and using that  $p = a_i d_i$ :

$$\begin{aligned}
g_{=f(sp)} = g_{\leq f(sp)} &= sp + \sum_{i=1}^n (d_i - 1)a_i \\
&= sp + \sum_{i=1}^n (p - a_i) \\
&= (s + n)p - \sigma,
\end{aligned}$$

$$h_{=f(sp)} = h_{\geq f(sp)} = sp,$$

$$c_{=f(sp)} = p,$$

$$\begin{aligned}
s_{=f(sp)} &= sp^2 + \sum_{i=1}^n \frac{pa_i(d_i - 1)}{2} \\
&= sp^2 + \sum_{i=1}^n \frac{p^2 - pa_i}{2} \\
&= \frac{2sp^2}{2} + \frac{np^2 - p\sigma}{2} \\
&= \frac{p((2s + n)p - \sigma)}{2},
\end{aligned}$$

$$\begin{aligned}
F_{=f(sp)}(x) &= x^{sp} \prod_i \frac{1 - x^{d_i a_i}}{1 - x^{a_i}} \\
&= \frac{x^{sp} (1 - x^p)^n}{(1 - x^{a_1}) \cdots (1 - x^{a_n})},
\end{aligned}$$

$$\begin{aligned}
F_{\geq f(sp)}(x) &= \frac{x^{sp}}{1 - x^p} \prod_i \frac{1 - x^{d_i a_i}}{1 - x^{a_i}} \\
&= \frac{x^{sp} (1 - x^p)^n}{(1 - x^p) (1 - x^{a_1}) \cdots (1 - x^{a_n})} \\
&= \frac{x^{sp} (1 - x^p)^{n-1}}{(1 - x^{a_1}) \cdots (1 - x^{a_n})}.
\end{aligned}$$

Finally, using that  $c_{=0} = \frac{(n-1)p-\sigma+1}{2}$  from Tripathi [19],

$$\begin{aligned}
c_{\leq k} &= \sum_{j=0}^k c_{=j} \\
&= c_{=0} + \sum_{r=0}^s c_{=(r+n-1)} \\
&= \frac{(n-1)p-\sigma+1}{2} + \sum_{r=0}^s p \\
&= (s+1)p + \frac{(n-1)p-\sigma+1}{2}.
\end{aligned}$$

□

*Proof of Proposition 1.19.* We will be brief, since much of this is classical; see Wilf's text [20, Section 3.15], for example. Define  $G(x) = \sum_{t=0}^{\infty} f(\mathbf{a}; t)x^t$ . We see that

$$G(x) = (1 + x^{a_1} + x^{2a_1} + \cdots) \cdots (1 + x^{a_n} + x^{2a_n} + \cdots) = \frac{1}{\prod_i (1 - x^{a_i})}.$$

All of the poles of  $G$  are  $m$ th roots of unity, where  $m = \text{lcm}(\mathbf{a})$ . One pole is  $x = 1$ , of order  $n$ . Label the other roots of unity by  $\zeta_j$ , for  $1 \leq j < m$ , and suppose  $\zeta_j$  is a pole of order  $b_j$ . Then the partial fraction expansion of  $G(x)$  yields that there exist  $C_\ell, D_{j\ell} \in \mathbb{Q}$  such that

$$G(x) = \sum_{\ell=1}^n \frac{C_\ell}{(1-x)^\ell} + \sum_{j=1}^{m-1} \sum_{\ell=1}^{b_j} \frac{D_{j\ell}}{(1-x/\zeta_j)^\ell}.$$

Suppose  $\zeta_j$  is a primitive  $r$ th root of unity. Then a term  $\frac{D_{j\ell}}{(1-x/\zeta_j)^\ell}$ , if expanded out as a product of geometric series, contributes a degree  $\ell - 1$  quasi-polynomial of period  $r$  to  $f(t)$ . Summed together, we will have a period  $m$  quasi-polynomial. We can see that  $\zeta_j$  is a root of exactly those  $1 - x^{a_i}$  such that  $r$  divides  $a_i$ ; therefore, it will be a pole of order  $b_j = |\{i : r \text{ divides } a_i\}|$ .

Since  $\text{gcd}(\mathbf{a}) = 1$ , we must have  $b_j \leq n - 1$ , and so the only degree  $n - 1$  piece will come from

$$C_n/(1-x)^n = \sum_{t=0}^{\infty} C_n \binom{t+n-1}{n-1} x^t$$

(the  $t$ th coefficient in the power series will be the number of ways to write  $t = c_1 + \cdots + c_n$  with  $c_i \in \mathbb{Z}_{\geq 0}$ , again a classical combinatorics problem).

Furthermore, if  $d_i = 1$  for all  $i$ , then no  $r > 1$  can divide  $n - 1$  of the  $a_i$ , and so  $b_j \leq n - 2$ , and the only degree  $n - 1$  and  $n - 2$  pieces will come from

$$C_n/(1-x)^n + C_{n-1}/(1-x)^{n-1} = \sum_{t=0}^{\infty} \left( C_n \binom{t+n-1}{n-1} + C_{n-1} \binom{t+n-2}{n-2} \right) x^t.$$

Noting that

$$C_n = (1-x)^n G(x) \Big|_{x=1} \quad \text{and} \quad C_{n-1} = \frac{d}{dx} (1-x)^n G(x) \Big|_{x=1},$$

we compute that

$$C_n = \frac{1}{a_1 \cdots a_n} \quad \text{and} \quad C_{n-1} = \frac{a_1 + \cdots + a_n - n}{2a_1 \cdots a_n},$$

and we can compute that

$$\begin{aligned} & C_n \binom{t+n-1}{n-1} + C_{n-1} \binom{t+n-2}{n-2} \\ &= \frac{1}{(n-1)!a_1 \cdots a_n} t^{n-1} + \frac{a_1 + \cdots + a_n}{2(n-2)!a_1 \cdots a_n} t^{n-2} + \text{lower order terms.} \end{aligned}$$

This gives the first leading term of  $f(t)$ , in general, and the first two leading terms when  $d_i = 1$  for all  $i$ , and so Parts (1) and (2) are proved.

To prove Part (3), Theorem 1.12(3) allows us to assume without loss of generality that  $d_i = 1$  for all  $i$ , and we want to prove that  $f(s+1) > f(s)$  for sufficiently large  $s$ . Indeed, the leading term of  $f(s+1) - f(s)$ , when expanded out as a quasi-polynomial using Part (2), is

$$\frac{1}{(n-2)!a_1 \cdots a_n} s^{n-2}.$$

Since this is a positive leading term,  $f(s+1) - f(s)$  must eventually be positive, as desired.  $\square$

### 3 Open Questions

**Question 3.1.** We have made no effort to quantify what *sufficiently large* means in any of these theorems, but probably one can, since  $f(t)$  is so “well-behaved” here. What bounds can we give for when the results hold?

**Question 3.2.** The  $n = 2$  case is well understood (see Proposition 1.15), and finding formulas for  $n \geq 4$  seems very difficult even in the  $k = 0$  case. It seems possible that there are interesting formulas when  $n = 3$ , however. For example, when  $n = 3$  and  $k = 0$ , there are reasonable formulas (see Ramírez Alfonsín [15, Chapter 2], and, for a generating function approach, see Denham [10]). Are there interesting formulas for  $n = 3$  and general  $k$ ?

**Question 3.3.** Let  $P \subseteq \mathbb{R}^n$  be a  $d$ -dimensional polytope whose vertices are rational, and let  $m$  be the smallest integer such that the vertices of  $mP$  ( $P$  dilated by a factor of  $m$ ) are integers. Then Ehrhart [11] proves that  $f(t) = |tP \cap \mathbb{Z}^n|$  is a quasi-polynomial of period  $m$  (see the Beck and Robins text [8] for many more details). This is a generalization of our problem, as taking  $P$  to be the convex hull of  $\mathbf{e}_i/a_i$  ( $1 \leq i \leq n$ ), where  $\mathbf{e}_i$  is  $i$ th standard basis vector, yields the Frobenius  $f(t)$ . One can define  $g_{\leq k}$ , and so forth, using this  $f$ , and Aliev, De Loera, and Louveaux [1] study structural and algorithmic results related to this. Do some of the results of this current paper generalize to that more general setting?



**Question 3.4.** What can we say about the computational complexity of computing  $g_{\leq k}$ ,  $c_{\leq k}$ , and so forth? If  $n$  is not fixed, then Ramírez Alfonsín [14] shows that even computing  $g_{=0}$  is NP-hard. On the other hand, if  $n$  is fixed, then Kannan [13] shows that  $g_{=0}$  can be computed in polynomial time, and Barvinok and Woods [4] show that  $c_{=0}$  and other quantities can be computed in polynomial time. Generalizing, Aliev, De Loera, and Louveaux [1] show that, for fixed  $n$  and  $k$ ,  $g_{\leq k}$  and other quantities can be computed in polynomial time.

This leaves the open question: Can these quantities be computed in polynomial time if  $n$  is fixed, but  $a_1, \dots, a_n$  and  $k$  are the input? When  $k$  is sufficiently large, Theorem 1.12 applies: For any given  $t$ , we can compute  $f(t)$  in polynomial time, using the result of Barvinok [5] that  $|P \cap \mathbb{Z}^n|$  can be computed in polynomial time for fixed  $n$ ; then binary search allows us to find  $s$  such that  $f(sp) \leq k < f((s+1)p)$ , and Theorem 1.12 gives us  $g_{=k}$ . But what if  $k$  is bigger than a constant but not “sufficiently large” for Theorem 1.12 to hold?

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